### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Meng-Chi Liou et al.

Serial No.: 10/710,887 Filing Date: 08/10/2004

Examiner: CHEN WEN YING PATTY Art Unit: 2871

Docket No.: CPTP0002USA

10 Title: MULTI-DOMAIN VERTICAL ALIGNMENT LIQUID CRYSTAL

DISPLAY PANEL COMPRISING ALTERNATING AND PARALLEL

**SLITS AND PROJECTIONS** 

15 To: Mail Stop 16

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Subject: Status Inquiry of the Request for Refund filed on December 09, 2007

Dear Sir,

A request to refund an improper charge of US\$500 from the USPTO was filed on December 09, 2007 and an official receipt was issued from the USPTO on December 28, 2007. However, the amount of US\$500 has not been refunded to the undersigned's Deposit Account No. 50-3105 so far.

The official receipt (Attachment 1) and the refund request (Attachment 2) are hereby enclosed for your quick review. Please refund US\$500 to the Deposit Account No. 50-3105 as soon as possible. Your quick response will be much appreciated.

	Sincere	ly	yo	urs,
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/Winston Hsu/	Date:	07/09/2010	
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Winston Hsu, Patent Agent No. 41,526

5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

E-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in

D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



### UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

December 28, 2007

NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION P.O. BOX 506 MERRIFIELD, VA 22116 US

Dear Sir/Madam,

This is to acknowledge receipt of your refund request for 10710887 in the amount of \$500.00.

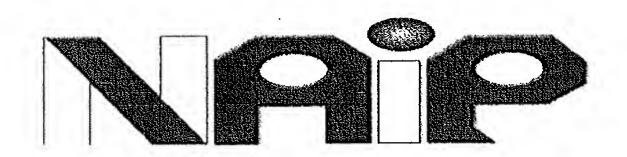
Your request has been forwarded to the for review.

To inquire about the status of your refund request, please call 000-000-0000.

Please allow four weeks from the date on this letter prior to your inquiry.

Thank you,

OIPE (W/O LETTER)/PETITIONS (W/LETTER)



# North America Intellectual Property Corporation

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OFFICE OF PETITIONS

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From: Winston Hsu, Registration No. 41,526

Serial No.: 10/710,887

Attorney Docket No.: CPTP0002USA

Subject: Request for Refund (Improper Charges of Deposit

Account)

Total Pages: 13 pages (including cover page)

Winston Hsu 12/09/2007

PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/710,887 **TRANSMITTAL** Filing Date 08/10/2004 First Named Inventor **FORM** Meng-Chi Liou Art Unit 2871 **Examiner Name** CHEN, WEN YING PATTY (to be used for all correspondence after initial filing) Attorney Docket Number CPTP0002USA 12 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** Provisional Application After Final Power of Attorney, Revocation Status Letter **Change of Correspondence Address** Affidavits/declaration(s) Other Enclosure(s) (please Identify **Terminal Disclaimer** below): Extension of Time Request Request for Refund **Express Abandonment Request** CD, Number of CD(s) \_\_\_\_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name North America Intellectual Property Corporation Signature Vunton Lan Printed name Winston Hsu Reg. No. 41,526 Date 12/09/2007 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Janice Chem 12/09/2007 Date Janice Chen

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

#### PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

10/710,887

Filing Date:

10 August 2004

Patent No.:

7,248,319

Issue Date:

24 July 2007

The

applicants:

Meng-Chi Liou et al.

Art Unit:

2871

Confirmation No.: 4886

Examiner:

CHEN, WEN YING PATTY

Docket No.:

CPTP0002USA

Title:

MULTI-DOMAIN VERTICAL ALIGNMENT LIQUID CRYSTAL DISPLAY

PANEL COMPRISING ALTERNATING AND PARALLEL SLITS AND

**PROJECTIONS** 

Commissioner for Patents

To:

P.O. Box 1450

Alexandria, VA 22313-1450

Subject:

Request for Refund (Improper Charges of Deposit Account)

Dear Sir/Madam:

In response to the Petition Decision issued on 7 September 2007 regarding the above-captioned patent, the undersigned practitioner hereby requests for a refund of \$500 improperly charged (\$400 for the petition under 37 CFR 1.182 plus \$100 for the certificate of correction under 1.323) to Deposit Account No. 50,3105.

#### BACKGROUND

Mr. Meng-Chi Liou was named as the first inventor of Application No. 10/710,887, now issued as Patent No. 7,248,319. His name was inadvertently misspelled as "Meng-Chi Liu." Related facts and fees paid for the correction of this transliteration error are listed as follows:

- 1. Soon after 20 March 2007, the date on which the application was allowed, the applicants (now patentees) noticed the transliteration error in the first inventor's name.
- 2. On 3 May 2007, the applicants timely filed a request for correction accompanied by a supplemental application data

sheet ("the first request").

- 3. On 13 June 2007, the applicants paid the issue fee and publication fee.
- 4. On 24 July 2007, the application was issued as Patent No. 7,248,319 with the wrong spelling.
- 5. On 27 August 2007, pursuant to 37 CFR 1.322, the applicants filed a request for certificate of correction of Office mistake ("the second request").
- 6. On 7 September 2007, the Office issued the Petition Decision, granting the requests for change of name and for the issuance of certificate of correction. The first request, however, was treated as a petition under 37 CFR 1.182 and the second as a request under 37 CFR 1.323, and hence a total amount of \$500 were deducted from Deposit Account No. 50,3105 (see Attachment 1).
- 7. On 16 October 2007, the Office issued the certificate of correction which reflected the correct spelling.

#### Request Base

1. \$400 for the 37 CFR 1.182 Petition

The applicants filed the first request before paying the issue fee. To correct a transliteration error like this, Section 605.04(b) of the Manual of Patent Examining Procedure ("MPEP") states:

"When a typographical or transliteration error in the spelling of an inventor's name is discovered during pendency of an application, a petition is not required... However, the applicants are strongly encouraged to use an application data sheet such that any patent to issue will reflect the correct spelling of the inventor's name."

The applicants deliberately delayed the payment of the issue fee, in the hope that the Office would have sufficient time

to reflect the corrected spelling. In fact, the payment was not made until one week before the time limit expired.

37 CFR 1.182 does not apply in the instant case. The first request should not have been treated as a petition under 37 CFR 1.182, and the petition fee of \$400 so deducted is improper whatsoever.

### 2. \$100 for the 37 CFR 1.323 certificate of correction

With diligent efforts, the applicants had followed the instructions of MPEP and filed the first request in due course. As the subsequent official documents (see Attachment 2) had reflected the correct spelling, one would have reasonably expected the first request to be granted by the issue date and the patent to be issued with the correct name.

While it is understandable that the Office might not be able to address the first request prior to issuance of the patent, any mistake caused by it should not have been blamed on the applicants. After all, as the Petition Decision rightly pointed out, the first request was itself grantable but not granted in time due to an error of the Office. Such being the case, 37 CFR 1.322 should be the applicable provision here.

Moreover, nothing in the first request or the second request has ever authorized the Office to charge \$100 under 37 CFR 1.20(a) to Deposit Account No. 50,3105 as a fee for certificate of correction.

In brief, 37 CFR 1.323 does not apply in the instant case, for the applicants had done nothing wrong by abiding by the rules set forth in MPEP. The \$100 fee for certificate of correction so deducted is improper as well.

#### Conclusion

As discussed above, please refund by crediting Deposit Account No. 50,3105. Should the Office believe that anything further is desirable in order to grant this request, please

contact the undersigned practitioner at the telephone number listed below.

Respectfully submitted,

Wenton Har Date:

Date: DEC 0 9 2007

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 Facsimile: 806-498-6673

E-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 13 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan.)

## Attachment 1

Deposit Account Statement

## Attachment 1





## **Deposit Account Statement**

**Requested Statement** 

Month:

September 2007

**Deposit Account** 

Number:

503105

Name:

NORTH AMERICA INTELLECTUAL PROPERTY

CORPORATION

Attention:

**WINSTON HSU** 

**Street Address 1:** 

5F NO.389 FU-HO RD

**Street Address 2:** 

City:

TAIPEI HSIEN

State:

Zip:

Country:

**TAIWAN** 

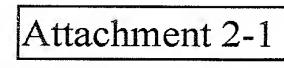
DATE SEQ POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
09/04 7163 60948992	WDLP0019USA	8007	\$20.00
09/04 7165 11668473	RWTP0006USA	8007	\$20.00
09/04 7167 11834652	ZYXP0025USA	8007	\$40.00
09/04 7168 11834692	HMOP0034USA	8007	\$40.00
09/04 7170 60822078	REAP0397USA	8007	\$40.00
09/04 7172 11834693	MTKP0504USA	8007	\$40.00
09/04 7174 11837547	MTKP0511USA	8007	\$40.00
09/04 7176 11849296	NAUP0923USA	1011	\$300.00
09/04 7177 11849296	NAUP0923USA	1111	\$500.00
09/04 7179 11849296	NAUP0923USA	1202	\$800.00
09/04 7178 11849296	NAUP0923USA	1311	\$200.00
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09/04 7184 11839543	MTKP0540USA	8007	\$40.00
09/04 7186 11849297	NVAP0069USA	1011	\$300.00
09/04 7187 11849297	NVAP0069USA	1111	\$500.00
09/04 7188 11849297	NVAP0069USA	1311	\$200.00
09/04 7190 11849296	NAUP0923USA	8021	\$40.00
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09/04 7224 11835423	MTKP0562USA	8007	\$40.00
09/04 7225 11834016	MTKP0594USA	8007	\$40.00

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09/06 6548 11850683 MTKP0075USA3	8021	\$40.00
09/06 6549 11850684 MTKP0641USA	8021	\$40.00
09/06 6593 11850678 TMIP0023USA3	8021	\$40.00
09/06 6597 11850664 REAP0390USA	8021	\$40.00
09/06 53 10728150 MEGP0012USA1	1801	\$790.00
09/06 122 11306369 HHIP0049USA	1501	\$1,400.00
09/06 123 11306369 HHIP0049USA	1504	\$300.00
09/06 124 10908303 AITP0022USA	2501	\$700.00
09/06 125 10908303 AITP0022USA	1504	\$300.00
09/06 126 11556195 NTCP0078USA	1501	\$1,400.00
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09/07 6450 11851377 NAUP0902USA	8021	\$40.00
09/07 6464 11851382 VIAP0120USA1	8021	\$40.00
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09/07 6552 6552565 YUSO-145	1558	\$1,640.00
09/07 2 10710887 CPTP0002USA	1462	\$400.00
09/07 3 10710887 CPTP0002USA	1811	\$100.00
09/07 50 11308119 REAP0290USA	1501	\$1,400.00
09/07 51 11308119 REAP0290USA	1504	\$300.00
09/07 52 11695052 NTCP0123USA	1501	\$1,400.00
09/07 53 11695052 NTCP0123USA	1504	\$300.00
09/07 40 10908821 VIAP0151USA	1801	\$790.00

### **Attachment 2**

Official documents which were issued after the filing date of the first request and reflected the correct spelling of the first inventor's name, including

- 1. the Issue Notification dated 4 July 2007,
- 2. the Bibliographic Data Sheet dated 22 June 2007, and
  - 3. the Corrected Filing Receipt dated 20 June 2007.





# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

 APPLICATION NO.
 ISSUE DATE
 PATENT NO.
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 10/710,887
 07/24/2007
 7248319
 CPTP0002USA
 4886

27765

7590

07/04/2007

NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION P.O. BOX 506 MERRIFIELD, VA 22116

### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 198 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Meng-Chi Liou, Tao-Yuan Hsien, TAIWAN; Chung-Ge Lin, Tao-Yuan City, TAIWAN; Kuang-Shyang Lin, Tao-Yuan Hsien, RWANDA; Fu-Yuan Shiau, Chia-I City, TAIWAN;

# Attachment 2-2



### United States Patent and Trademark Office

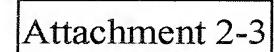
UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS F.O. Box 1450 Alexandria, Virginis 22313-1450 www.uspto.gov

### \*BIBDATASHEET\*

Bib Data Sheet

**CONFIRMATION NO. 4886** 

SERIAL NUMBE 10/710,887	FILING OR 371(c) DATE 08/10/2004 RULE	<b>CLASS</b> 349	GRO	JP ART 2871	UNIT	D	OCKET NO. PTP0002USA
Chung-Ge L Kuang-Shya Fu-Yuan Shi ** CONTINUING D ** FOREIGN APPL TAIWAN 093		N; kWANDA; *					
Foreign Priority claimed 35 USC 119 (a-d) condimet Verified and Acknowledged	STATE OR COUNTRY TAIWAN	DRA			INDEPENDENT CLAIMS 2		
	ERTICAL ALIGNMENT LIC		AY PA	NEL CC	MPRIS	ING A	LTERNATING
FILING FEE F	EES: Authority has been g	s been given in Paper charge/credit DEPOSIT ACCOUNT			Fees (7 Fees (	Proce	essing Ext. of





### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Adcress: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.nspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/710,887	08/10/2004	2871	1570	CPTP0002USA	17	2

**CONFIRMATION NO. 4886** 

CORRECTED FILING RECEIPT

27765 NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION P.O. BOX 506 MERRIFIELD, VA22116

Date Mailed: 06/20/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Meng-Chi Liou, Tao-Yuan Hsien, TAIWAN; Chung-Ge Lin, Tao-Yuan City, TAIWAN; Kuang-Shyang Lin, Tao-Yuan Hsien, RWANDA; Fu-Yuan Shiau, Chia-I City, TAIWAN;

Power of Attorney: The patent practitioners associated with Customer Number 027765

Domestic Priority data as claimed by applicant

**Foreign Applications** 

TAIWAN 093110689 04/16/2004

If Required, Foreign Filing License Granted: 09/10/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/710,887

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

Title

MULTI-DOMAIN VERTICAL ALIGNMENT LIQUID CRYSTAL DISPLAY PANEL COMPRISING ALTERNATING AND PARALLEL SLITS AND PROJECTIONS